

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicants: Stacey J. Bell and Judith Shabert
Application No.: 09/815,566
Filed: March 23, 2001
For: NUTRITIONAL SUPPLEMENT TO ALLEVIATE SYMPTOMS
ASSOCIATED WITH REDUCED LEVELS OF SEROTONIN

Group: 1653
1761
Examiner: Unknown

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231	
on <u>10/15/01</u>	<u>Linda Woodhouse</u>
Date	Signature
<u>LINDA WOODHOUSE</u>	
Typed or printed name of person signing certificate	

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TRANSMITTAL OF SUBSTITUTE DECLARATION/POWER OF ATTORNEY

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Please find enclosed herewith an executed Substitute Declaration/Power of Attorney for filing in the captioned application.

The Substitute Declaration/Power of Attorney is being filed to correct the name of the second inventor from "Judy" to ---Judith---.

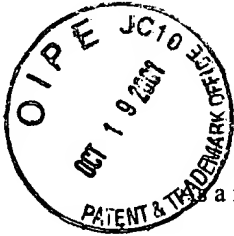
Please charge any deficiency or credit any overpayment in the fees that may be due in this matter to Deposit Account No. 08-0380. A copy of this letter is enclosed for accounting purposes.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By Alice O. Carroll
Alice O. Carroll
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Concord, MA 01742-9133
Date: October 15, 2001



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Substitute Declaration for Patent Application

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I, a named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name;

I believe I am the original, first and sole inventor (if only one name is listed) or an original, first and joint inventor (if plural names are listed in the signatory page(s) commencing at page 3 hereof) of the subject matter which is claimed and for which a patent is sought on the invention entitled

NUTRITIONAL SUPPLEMENT TO ALLEVIATE SYMPTOMS ASSOCIATED WITH REDUCED
LEVELS OF SEROTONIN

the specification of which (check one)

☐ is attached hereto.

☒ was filed on March 23, 2001 as United States Application

Number or PCT International Application No. 09/815,566

and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is known by me to be material to patentability as defined in 37 C.F.R. §1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed:

<u>Prior Foreign Application(s)</u>			Priority Not Claimed	Certified Copy Filed?	
(Number)	(Country)	(Day/Month/Year filed)		YES	NO
_____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
_____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
_____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

_____	_____
(Application Number)	(Filing Date)
_____	_____
(Application Number)	(Filing Date)

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information known by me to be material to patentability as defined in 37 C.F.R. 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

<u>09/783,709</u> (Application Serial No.)	<u>2/14/01</u> (Filing date)	<u>Pending</u> (Status: patented, pending, abandoned)
<u> </u> (Application Serial No.)	<u> </u> (Filing date)	<u> </u> (Status: patented, pending, abandoned)
<u> </u> (Application Serial No.)	<u> </u> (Filing date)	<u> </u> (Status: patented, pending, abandoned)
<u> </u> (Application Serial No.)	<u> </u> (Filing date)	<u> </u> (Status: patented, pending, abandoned)

As a named inventor, I hereby appoint the attorneys and/or agents associated with
Hamilton, Brook, Smith & Reynolds, P.C., Two Militia Drive, Lexington, Massachusetts 02421-4799
Customer No. 21005,

and _____,

to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Please send correspondence to:

☒ Customer No. **21005**
HAMILTON, BROOK, SMITH & REYNOLDS, P.C.
Two Militia Drive
Lexington, MA 02421-4799

or

☐ Address as follows:

Direct telephone calls to: Alice O. Carroll, Esq. Telephone No.: 781-861-6240
Direct facsimiles to: Alice O. Carroll, Esq. Facsimile No.: 781-861-9540

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole

or first inventor Stacey J. Bell

Inventor's Signature _____ Date _____

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Post Office Address Same

Full name of second joint

inventor, if any Judith Shabert

Inventor's Signature

Judith Shabert

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Citizenship

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Post Office Address

Same

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